

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER SN132 PCT 1	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/521321	
INTERNATIONAL APPLICATION NO. PCT/EP 03/09160	INTERNATIONAL FILING DATE 01 August 2003	PRIORITY DATE CLAIMED 16 August 2002	
TITLE OF INVENTION SILICONE FOAM CONTROL COMPOSITIONS			
APPLICANT(S) FOR DO/EO/US SERGE CREUTZ; ALAIN HILBERER; GEORGE SAWIKI			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV496034636US 23. <input checked="" type="checkbox"/> Other items or information: <input type="checkbox"/> Cover Letter <input type="checkbox"/> Return Post Card 			

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24. The following fees are submitted: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"><input checked="" type="checkbox"/> a) Basic national fee</td> <td style="width: 40%;">\$300.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination fee</td> <td>\$200.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search fee</td> <td>\$500.00</td> </tr> <tr> <td colspan="2" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> </tr> <tr> <td colspan="2" style="text-align: center;">\$1000.00</td> </tr> </table> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th>Total Sheets</th> <th>Extra Sheets</th> <th>Number of each additional 50 or fraction thereof (round up to a whole)</th> <th>RATE</th> </tr> <tr> <td>34 - 100 =</td> <td>-66 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </table> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td>0</td> <td>x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x \$200.00</td> </tr> </table> <p>MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="width: 10%; text-align: center;">\$1,000.00</td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;">SUBTOTAL =</td> <td style="width: 10%; text-align: center;">\$1,000.00</td> </tr> </table> <p>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;">TOTAL NATIONAL FEE =</td> <td style="width: 10%; text-align: center;">\$1,000.00</td> </tr> </table> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$0.00</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;">TOTAL FEES ENCLOSED =</td> <td style="width: 10%; text-align: center;">\$1,000.00</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;">Amount to be refunded:</td> <td style="width: 10%; text-align: center;">\$</td> </tr> <tr> <td colspan="2"></td> <td style="text-align: center;">\$</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;">Amount to be charged:</td> <td style="width: 10%; text-align: center;">\$</td> </tr> <tr> <td colspan="2"></td> <td style="text-align: center;">\$</td> </tr> </table>						<input checked="" type="checkbox"/> a) Basic national fee	\$300.00	<input checked="" type="checkbox"/> b) Examination fee	\$200.00	<input checked="" type="checkbox"/> c) Search fee	\$500.00	TOTAL OF ABOVE CALCULATIONS =		\$1000.00		Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE	34 - 100 =	-66 /50 =	0	x \$250.00	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	20 - 20 =	0	x \$50.00	Independent claims	2 - 3 =	0	x \$200.00	TOTAL OF ABOVE CALCULATIONS =		\$1,000.00	SUBTOTAL =		\$1,000.00	TOTAL NATIONAL FEE =		\$1,000.00	TOTAL FEES ENCLOSED =		\$1,000.00	Amount to be refunded:		\$			\$	Amount to be charged:		\$			\$
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a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>04-1520</u> in the amount of <u>\$1,000.00</u> to cover the above fees. A duplicate copy of this sheet is _____. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1520</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																											
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																																											
SEND ALL CORRESPONDENCE TO: James L. DeCesare - Phone Number (989) 496-4235 Dow Corning Corporation Customer No. 00137 IP Dept. - CO1232 2200 W. Salzburg Road P. O. Box 994 Midland, MI 48686-0994																																																											
 SIGNATURE <u>James L. DeCesare</u> NAME <u>27,979</u> REGISTRATION NUMBER																																																											

Certificate of Express Mailing (37 CFR 1.10)

EV496034636US

"Express Mail" Mailing Number

January 6, 2004

Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Box PCT, Director of the United States Patent and Trademark Office, Washington, D.C. 20231.

Kelly J. Smith

(Typed or printed name of person mailing application)

Kelly J. Smith

(Signature of person mailing application)

THIS APPLICATION IS
THE ENTRY INTO THE
NATIONAL PHASE UNDER 35 U.S.C. 371

Applicant(s): DOW CORNING CORPORATION,
SERGE CREUTZ
ALAIN HILBERER
GEORGE SAWIKI

International Application No.: PCT/EP03/09160

International Filing Date: 01 August 2003

Priority Date Claimed: 16 August 2002

Title: SILICONE FOAM CONTROL COMPOSITIONS

Attorney's Docket No.: SN132 PCT 1